

# MALTA STOCK EXCHANGE

Garrison Chapel Castille Place  
Valletta

Tel: (+356) 21244051 Fax: (+356) 25696276

Stockbroker \_\_\_\_\_

Date \_\_\_\_\_

I hereby request the Malta Stock Exchange to open an account in the name of the client detailed hereunder who is represented by the undersigned stockbroker.

Tick as appropriate:

Normal A/c \_\_\_\_\_

Principal & Secondary Holder A/c \_\_\_\_\_

Please fill in form in BLOCK LETTERS

Name \_\_\_\_\_

Address \_\_\_\_\_

Tel & Mobile No. \_\_\_\_\_

Fax No. \_\_\_\_\_

ID Card No. \_\_\_\_\_

E-mail Address \_\_\_\_\_

Tax No. \_\_\_\_\_

Nationality \_\_\_\_\_

Country of Residence \_\_\_\_\_

MSE A/c No. \_\_\_\_\_

*(if already a holder of securities listed on the Exchange)*

**Any interest/dividends/redemption monies (delete as appropriate) due on the holdings listed below must, until further notice, be paid into the following Bank Account:**

Name of Bank & Branch: \_\_\_\_\_

IBAN: \_\_\_\_\_

Securities affected by the above direct credit instructions: 1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

**Any interest due on the holdings listed below must, until further notice, be paid Gross/Net (delete as appropriate) of Final Withholding Tax:**

Securities affected by the above tax option: 1. \_\_\_\_\_  
2. \_\_\_\_\_  
3. \_\_\_\_\_

***For the purposes of the Financial Markets Act (Cap.345 of the Laws of Malta), the Prevention of Money Laundering and Funding of Terrorism Act (Cap.373 of the Laws of Malta), the Professional Secrecy Act (Cap.377 of the Laws of Malta) and the Data Protection Act (Cap.440 of the Laws of Malta), it is declared that I /we hereby grant my/our consent and authorisation for Messrs. \_\_\_\_\_ (name of the Member Firm) to:-***

***i) make available to Malta Stock Exchange plc upon request, all such information as may necessary for ensuring compliance with the Prevention of Money Laundering and Funding of Terrorism Regulations issued under Cap.373 of the Laws of Malta; and***

***ii) carry out enquiries into my/our Malta Stock Exchange Account/s kept at the Central Securities Depository or holdings of securities registered at the Central Securities Depository of Malta Stock Exchange plc.”***

Client’s signature \_\_\_\_\_

Stockbrokers’s signature \_\_\_\_\_

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For MSE use only:

Date Processed: \_\_\_\_\_

Initials: \_\_\_\_\_

New MSE A/c No. \_\_\_\_\_